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#### (54) GLP-1 ANALOG FUSION PROTEINS

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**U.S. Cl.** 530/324; 514/12; 530/308

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(57) ABSTRACT

The invention provides specific GLP-1 analogs fused to specific IgG4-Fc derivatives. These fusion proteins have an increased half-life, decreased immunogenicity, and reduce effector activity. The fusion proteins are useful in treating diabetes, obesity, irritable bowel syndrome and other conditions that would be benefited by lowering plasma glucose, inhibiting gastric and/or intestinal motility and inhibiting gastric and/or intestinal emptying, or inhibiting food intake.

#### 5 Claims, No Drawings

#### **GLP-1 ANALOG FUSION PROTEINS**

This is the national phase application, under 35 USC 371, for PCT/US04/015595, filed Jun. 10, 2004, which claims the priority of U.S. provisional application No. 60/477,880, filed 5 Jun. 12, 2003.

#### FIELD OF THE INVENTION

The present invention relates to glucagon-like peptide analogs fused to proteins that have the effect of extending the in vivo half-life of the peptides. These fusion proteins can be used to treat diabetes as well as a variety of other conditions or disorders.

Glucagon-like peptide-1 (GLP-1) analogs and derivatives show promise in clinical trials for the treatment of type 2 diabetes. GLP-1 induces numerous biological effects such as stimulating insulin secretion, inhibiting glucagon secretion, inhibiting gastric emptying, inhibiting gastric motility or intestinal motility, and inducing weight loss. A significant characteristic of GLP-1 is its ability to stimulate insulin secretion without the associated risk of hypoglycemia that is seen when using insulin therapy or some types of oral therapies that act by increasing insulin expression.

The usefulness of therapy involving GLP-1 peptides has been limited by the fact that GLP-1(1-37) is poorly active, and the two naturally occurring truncated peptides, GLP-1(7-37) OH and GLP-1(7-36)NH<sub>2</sub>, are rapidly cleared in vivo and have extremely short in vivo half lives. It is known that endogenously produced dipeptidyl-peptidase IV (DPP-IV) inactivates circulating GLP-1 peptides by removing the N-terminal histidine and alanine residues and is a major reason for the short in vivo half-life.

Various approaches have been undertaken to extend the elimination half-life of a GLP-1 peptide or reduce clearance 35 of the peptide from the body while maintaining biological activity. One approach involves fusing a GLP-1 peptide to the Fc portion of an immunoglobulin. Immunoglobulins typically have long circulating half-lives in vivo. For example, IgG molecules can have a half-life in humans of up to 23 days. 40 The Fc portion of the immunoglobulin is responsible, in part, for this in vivo stability. GLP-1-Fc fusion proteins take advantage of the stability provided by the Fc portion of an immunoglobulin while preserving the biological activity of the GLP-1 molecule.

Although this approach is feasible for GLP-1 therapeutics (See WO 02/46227), there is a general concern regarding the antigenicity of various fusion proteins when administered repeatedly over prolonged periods of time. This is especially a concern for GLP-1-Fc fusion therapeutics as a patient with 50 diabetes must be treated for her entire life once diagnosed with the disease. In addition, Fc fusion protein therapeutics can be a concern if the Fc portion retains unwanted effector functions.

The present invention seeks to overcome the problems associated with the potential immunogenicity and effector activity associated with administration of GLP-1-Fc fusions by identifying specific GLP-1-Fc fusion proteins that have a reduced risk of inducing an immune response after repeated and prolonged administration and no longer have effector function. These specific fusion proteins have substitutions at various positions in the GLP-1 portion as well as the Fc portion of the molecule. The substitutions described herein provide increased potency, increased in vivo stability, elimination of effector function and decreased likelihood the molecule will be recognized by the adaptive elements of the immune system.

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Compounds of the present invention include heterologous fusion proteins comprising a GLP-1 analog comprising a sequence selected from the group consisting of

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His-Xaa<sub>s</sub>-Glu-Gly-Thr-Phe-Thr-Ser-
                                            (SEQ ID NO:1)
   Asp-Val-Ser-Ser-Tyr-Leu-Glu-Glu-
   Gln-Ala-Ala-Lys-Glu-Phe-Ile-Ala-
    Trp-Leu-Val-Lys-Gly-Gly-Gly
  wherein Xaa<sub>8</sub> is selected from Gly and Val;
   His-Xaa<sub>s</sub>-Glu-Gly-Thr-Phe-Thr-Ser-
                                            (SEQ ID NO:2)
   Asp-Val-Ser-Ser-Tyr-Leu-Glu-Glu-
   Gln-Ala-Ala-Lys-Glu-Phe-Ile-Ala-
   Trp-Leu-Lys-Asn-Gly-Gly-Gly
  wherein Xaa<sub>8</sub> is selected from Gly and Val;
   His-Xaa<sub>s</sub>-Glu-Gly-Thr-Phe-Thr-Ser-
                                            (SEQ ID NO:3)
   Asp-Val-Ser-Ser-Tyr-Leu-Glu-Glu-
   Gln-Ala-Ala-Lys-Glu-Phe-Ile-Ala-
   Trp-Leu-Val-Lys-Gly-Gly-Pro
  wherein Xaa<sub>8</sub> is selected from Gly and Val;
   His-Xaa<sub>s</sub>-Glu-Gly-Thr-Phe-Thr-Ser-
                                            (SEQ ID NO:4)
   Asp-Val-Ser-Ser-Tyr-Leu-Glu-Glu-
   Gln-Ala-Ala-Lys-Glu-Phe-Ile-Ala-
   Trp-Leu-Lys-Asn-Gly-Gly-Pro
  wherein Xaa<sub>8</sub> is selected from Gly and Val;
e) His-Xaa<sub>8</sub>-Glu-Gly-Thr-Phe-Thr-Ser-
                                            (SEQ ID NO:5)
   Asp-Val-Ser-Ser-Tyr-Leu-Glu-Glu-
   Gln-Ala-Ala-Lys-Glu-Phe-Ile-Ala-
    Trp-Leu-Val-Lys-Gly-Gly
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f) His-Xaa<sub>8</sub>-Glu-Gly-Thr-Phe-Thr-Ser- (SEQ ID NO:6)
Asp-Val-Ser-Ser-Tyr-Leu-Glu-GluGln-Ala-Ala-Lys-Glu-Phe-Ile-AlaTrp-Leu-Lys-Asn-Gly-Gly

wherein Xaa<sub>8</sub> is selected from Gly and Val;

wherein Xaa<sub>8</sub> is selected from Gly and Val;

fused to the Fc portion of an immunoglobulin comprising the sequence of SEQ ID NO:7

Ala-Glu-Ser-Lys-Tyr-Gly-Pro-Pro-Cys-(SEQ ID NO:7) Pro-Pro-Cys-Pro-Ala-Pro-Xaa<sub>16</sub>-Xaa<sub>17</sub>-Xaa<sub>18</sub>-Gly-Gly-Pro-Ser-Val-Phe-Leu-Phe-Pro-Pro-Lys-Pro-Lys-Asp-Thr-Leu-Met-Ile-Ser-Arg-Thr-Pro-Glu-Val-Thr-Cys-Val-Val-Asp-Val-Ser-Gln-Glu-Asp-Pro-Glu-Val-Gln-Phe-Asn-Trp-Tyr-Val-Asp-Gly-Val-Glu-Val-His-Asn-Ala-Lys-Thr-Lys-Pro-Arg-Glu-Glu-Gln-Phe-Xaa<sub>so</sub>-Ser-Thr-Tyr-Arg-Val-Val-Ser-Val-Leu-Thr-Val-Leu-His-Gln-Asp-Trp-Leu-Asn-Gly-Lys-Glu-Tyr-Lys-Cys-Lys-Val-Ser-Asn-Lys-Gly-Leu-Pro-Ser-Ser-Ile-Glu-Lys-Thr-Ile-Ser-Lys-Ala-Lys-Gly-Gln-Pro-Arg-Glu-Pro-Gln-Val-Tyr-Thr-Leu-Pro-Pro-Ser-Gln-Glu-Glu-Met-Thr-Lys-Asn-Gln-Val-Ser-Leu-Thr-Cys-Leu-Val-Lys-Gly-Phe-Tyr-Pro-Ser-Asp-Ile-Ala-Val-Glu-Trp-Glu-Ser-Asn-Gly-Gln-Pro-Glu-Asn-Asn-Tyr-Lys-Thr-Thr-Pro-Pro-Val-Leu-Asp-Ser-Asp-Gly-Ser-Phe-Phe-Leu-Tyr-Ser-Arg-Leu-Thr-Val-Asp-Lys-Ser-Arg-Trp-Gln-Glu-Gly-Asn-Val-Phe-Ser-Cys-Ser-Val-Met-His-Glu-Ala-Leu-His-Asn-His-Tyr-Thr-Gln-Lys-Ser-Leu-Ser-Leu-Ser-Leu-Gly-Xaa230 wherein:

Xaa at position 16 is Pro or Glu; Xaa at position 17 is Phe, Val, or Ala; Xaa at position 18 is Leu, Glu, or Ala; Xaa at position 80 is Asn or Ala; and Xaa at position 230 is Lys or is absent.

The present invention also includes polynucleotides encoding the heterologous fusion proteins of the present invention, as well as vectors and host cells comprising such polynucleotides. Methods of treating patients suffering from 65 non-insulin dependent as well as insulin dependent diabetes mellitus, obesity, and various other disorders and conditions

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comprising administering the heterologous fusion proteins discussed herein are also encompassed by the present invention.

The heterologous fusion proteins of the present invention comprise a GLP-1 analog portion and an Fc portion. The GLP-1 analog portion and the Fc portion comprise substitutions to the native GLP-1 sequence and the human IgG4 sequence respectively that provide the protein with increased potency and in vivo stability compared to native GLP-1 or GLP-1 analogs not fused to an Fc sequence while decreasing the potential for inducing antibody formation after prolonged and repeated administration in humans.

Native GLP-1 is processed in vivo such that the first 6 amino acids are cleaved from the molecule. Thus, by custom in the art, the amino terminus of GLP-1 has been assigned the number 7 and the carboxy-terminus, number 37. The other amino acids in the polypeptide are numbered consecutively as shown in SEQ ID NO:9. For example, position 8 is alanine and position 22 is glycine. The processed peptide may be further modified in vivo such that the C-terminal glycine residue is removed and replaced with an amide group. Thus, GLP-1(7-37)OH and GLP-1(7-36)amide represent the two native forms of the molecule. GLP-1(7-37)OH has the amino acid sequence of SEQ ID NO:9:

The GLP-1 analog portion of the heterologous fusion protein comprises three primary substitutions at positions 8, 22, and 36 relative to native GLP-1(7-37). The substitution at position 8 reduces the rate at which the endogenous enzyme dipeptidyl-peptidase IV (DPP-IV) inactivates the analog. DPP-IV cleaves native GLP-1 between the  $2^{nd}$  and  $3^{rd}$  amino acids (between position 8 and 9) and the resulting molecule is less active. Thus, the heterologous fusion proteins of the present invention are DPP-IV resistant. The substitution at position 22 reduces the potential of the molecule to aggregate and increases the potency of the molecule. The substitution at position 36 in the context of the analog with changes at 8 and 22 as well as in the context of the entire fusion protein reduces the risk that the fusion protein will induce a neutralizing immune response after repeated and prolonged administra-55 tion in humans.

The central event in the generation of both humoral and cell-mediated immune responses is the activation and clonal expansion of T-helper  $(T_H)$  cells.  $T_H$  cell activation is initiated by interaction of the T-cell receptor (TCR)-CD3 complex with a processed antigenic peptide bound to a class II major histocompatibility (MHC) molecule in the presence of an antigen-presenting cell (APC). Interaction of a  $T_H$  cell with antigen initiates a cascade of biochemical events that induces the resting  $T_H$  cell to enter the cell cycle ( $G_0$  to  $G_1$  transition). The activated T cell progresses through the cell cycle, proliferating and differentiating into memory cells or effector cells.

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The following sequence was analyzed to identify potential epitopes:

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His-Gly-Glu-Gly-Thr-Phe-Thr-Ser-Asp- (SEQ ID NO:10)

Val-Ser-Ser-Tyr-Leu-Glu-Glu-Gln-Ala-
Ala-Lys-Glu-Phe-Ile-Ala-Trp-Leu-Val-
Lys-Gly-Arg-Gly-Gly-Gly-Gly-Ser-
Gly-Gly-Gly-Gly-Gly-Gly-Gly-Gly-
Ser-Gly-Gly-Gly-Ser-Gly-Gly-Gly-
Gly-Ser-Gly-Gly-Ser-Ala-Glu-
Ser-Lys-Tyr-Gly-Pro-Pro-Cys-Pro
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This sequence is a GLP-1 analog sequence with changes at positions 8 and 22 relative to the native sequence followed by 2 copies of a G-rich linker sequence followed by the first 10 amino acids of an Fc region derived from human IgG4. Epitope as used herein refers to a region of a protein molecule to which an antibody can bind. An immunogenic epitope is defined as the part of the protein that elicits an antibody response when the whole protein is the immunogen. Epitope mapping involved the scanning of sequences using a sliding nine amino acid window coupled with advanced statistical analysis techniques to extract the information contained in these patterns. A proprietary software package known as Epi-Matrix<sup>TM</sup> was used to analyze the sequence and identify peptides that are highly likely to provoke an immune response when presented to T-cells. Eight highly common alleles were used in the analysis for Class II MHC receptor interaction. DRB1\*0101, DRB1\*0301, alleles included DRB1\*1101, DRB1\*0401, DRB1\*0701, DRB1\*0801, DRB1\*1301, and DRB1\*1501.

A strong epitope was predicted to be located at the junction of the C-terminus of the GLP-1 analog portion and the beginning of the linker. The sequence of this epitope is Trp-Leu-Val-Lys-Gly-Arg-Gly-Gly-Gly (SEQ ID NO:11) which interacts with DRB1\*0801. The present invention encompasses the discovery that this epitope can be eliminated by changing the GLP-1 analog C-terminus to one of the following sequences:

The heterologous fusion proteins of the present invention contain an Fc portion which is derived from human IgG4, but comprises one or more substitutions compared to the wild-type human sequence. As used herein, the Fc portion of an 60 immunoglobulin has the meaning commonly given to the term in the field of immunology. Specifically, this term refers to an antibody fragment which does not contain the two antigen binding regions (the Fab fragments) from the antibody. The Fc portion consists of the constant region of an 65 antibody from both heavy chains, which associate through non-covalent interactions and disulfide bonds. The Fc portion

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can include the hinge regions and extend through the CH2 and CH3 domains to the c-terminus of the antibody. The Fc portion can further include one or more glycosylation sites.

There are five types of human immunoglobulins with different effector functions and pharmcokinetic properties. IgG is the most stable of the five types having a serum half-life in humans of about 23 days. There are four IgG subclasses (G1, G2, G3, and G4) each of which have different biological functions known as effector functions. These effector functions are generally mediated through interaction with the Fc receptor (FcyR) or by binding Clq and fixing complement. Binding to FcyR can lead to antibody dependent cell mediated cytolysis, whereas binding to complement factors can lead to complement mediated cell lysis. In designing heterologous 15 Fc fusion proteins wherein the Fc portion is being utilized solely for its ability to extend half-life, it is important to minimize any effector function. Thus, the heterologous fusion proteins of the present invention are derived from the human IgG4 Fc region because of its reduced ability to bind FcyR and complement factors compared to other IgG subtypes. IgG4, however, has been shown to deplete target cells in humans [Issacs et al., (1996) Clin. Exp. Immunol. 106:427-433]. Because the heterologous fusion proteins of the present invention target beta cells in the pancreas to induce insulin expression, using an IgG4 derived region in an Fc fusion protein could initiate an immune response against the pancreateic beta cell through interaction of the fusion protein with the GLP-1 receptor present on pancreatic beta cells. Thus, the IgG4 Fc region which is part of the heterologous fusion proteins of the present invention contains substitutions that eliminate effector function. The IgG4 Fc portion of the fusion proteins of the present invention may contain one or more of the following substitutions: substitution of proline for glutamate at residue 233, alanine or valine for phenylalanine at residue 234 and alanine or glutamate for leucine at residue 235 (EU numbering, Kabat, E. A. et al. (1991) Sequences of Proteins of Immunological Interest, 5<sup>th</sup> Ed. U.S. Dept. of Health and Human Services, Bethesda, Md., NIH Publication no. 91-3242). These residues corresponds to positions 16, 17 and 18 in SEQ ID NO:7. Further, removing the N-linked glycosylation site in the IgG4 Fc region by substituting Ala for Asn at residue 297 (EU numbering) which corresponds to position 80 of SEQ ID NO:7 is another way to ensure that residual effector activity is eliminated in the context of a 45 heterologous fusion protein.

In addition, the IgG4 Fc portion of the heterologous fusion proteins of the present invention contain a substitution that stabilizes heavy chain dimer formation and prevents the formation of half-IgG4 Fc chains. The heterologous fusion pro-50 teins of the present invention preferably exist as dimers joined together by disulfide bonds and various non-covalent interactions. Wild-type IgG4 contains a Pro-Pro-Cys-Pro-Ser-Cys (SEQ ID NO:18) motif beginning at residue 224 (EU numbering). This motif in a single GLP-1 analog-Fc chain forms 55 disulfide bonds with the corresponding motif in another GLP-1 analog-Fc chain. However, the presence of serine in the motif causes the formation of single chain fusion proteins. The present invention encompasses heterologous Fc fusion proteins wherein the IgG4 sequence is further modified such that serine at position at 228 (EU numbering) is substituted with proline (amino acid residue 11 in SEQ ID NO:7).

The C-terminal lysine residue present in the native molecule may be deleted in the IgG4 derivative Fc portion of the heterologous fusion proteins discussed herein (position 230 of SEQ ID NO:7; deleted lysine referred to as des-K). Fusion proteins expressed in some cell types (such as NS0 cells) wherein lysine is encoded by the C-terminal codon are het-

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erogeneous in that a portion of the molecules have lysine as the C-terminal amino acid and a portion have lysine deleted. The deletion is due to protease action during expression in some types of mammalian cells. Thus, to avoid this heterogeneity, it is preferred that Fc fusion expression constructs lack a C-terminal codon for lysine.

It is preferred that the C-terminal amino acid of the GLP-1 analog portion discussed herein is fused to the N-terminus of the IgG4 Fc analog portion via a glycine-rich linker. The in 10 vivo function and stability of the heterologous fusion proteins of the present invention can be optimized by adding small peptide linkers to prevent potentially unwanted domain interactions. Further, a glycine-rich linker provides some structural flexibility such that the GLP-1 analog portion can interact productively with the GLP-1 receptor on target cells such as the beta cells of the pancreas. These linkers, however, can significantly increase the risk that the fusion protein will be immunogenic in vivo. Thus, it is preferred that the length be no longer than necessary to prevent unwanted domain interactions and/or optimize biological activity and/or stability. The preferred glycine-rich linker comprises the sequence: Gly-Gly-Gly-Ser-Gly-Gly-Gly-Gly-Ser-Gly-Gly-Gly-Gly-Ser (SEQ ID NO:8). Although more copies of this linker may be used in the heterologous fusion proteins of the present invention, it is preferred that a single copy of this linker be used to minimize the risk of immunogenicity associated with prolonged and repeated administration.

Preferred GLP-1-Fc heterologous fusion proteins of the present invention include the following proteins: Gly<sup>8</sup>-Glu<sup>22</sup>- 30 Gly<sup>36</sup>-GLP-1(7-37)-1L-IgG4 (S228P), Gly<sup>8</sup>-Glu<sup>22</sup>-Gly<sup>36</sup>-GLP-1(7-37)-1L-IgG4 (S228P, F234A, L235A), Gly<sup>8</sup>-Glu<sup>22</sup>-Gly<sup>36</sup>-GLP-1(7-37)-1L-IgG4 (S228P, N297A), Gly<sup>8</sup>-Glu<sup>22</sup>-Gly<sup>36</sup>-GLP-1(7-37)-1L-IgG4 (S228P, F234A, L235A, Gly<sup>8</sup>-Glu<sup>22</sup>-Gly<sup>36</sup>-GLP-1(7-37)-1.5L-IgG4 <sub>35</sub> N297A), Gly<sup>8</sup>-Glu<sup>22</sup>-Gly<sup>36</sup>-GLP-1(7-37)-1.5L-IgG4 (S228P, F234A, L235A), Gly<sup>8</sup>-Glu<sup>22</sup>-Gly<sup>36</sup>-GLP-1(7-37)-1.5L-IgG4 (S228P, N297A), Gly<sup>8</sup>-Glu<sup>22</sup>-Gly<sup>36</sup>-GLP-1(7-37)-1.5L-IgG4 (S228P, F234A, L235A, N297A), Gly<sup>8</sup>-Glu<sup>22</sup>-Gly<sup>36</sup>-GLP-1(7-37)-2L-IgG4 (S228P), Gly<sup>8</sup>-Glu<sup>22</sup>- 40 Gly<sup>36</sup>-GLP-1(7-37)-2L-IgG4 (S228P, F234A, L235A), Gly<sup>8</sup>-Glu<sup>22</sup>-Gly<sup>36</sup>-GLP-1(7-37)-2L-IgG4 (S228P, N297A), and Gly<sup>8</sup>-Glu<sup>22</sup>-Gly<sup>36</sup>-GLP-1(7-37)-2L-IgG4 F234A, L235A, N297A), and the Val<sup>8</sup> and des-K forms of all of the above.

The nomenclature used herein to refer to specific heterologous fusion proteins is defined as follows: Specific substitutions to the GLP-1 portion of the fusion protein are indicated using the specific amino acid being substituted followed by the residue number. GLP-1(7-37) indicates that the GLP-1 50 portion of the mature fusion protein begins with His at position 7 and ends with Gly at position 37. L refers to a linker with the sequence Gly-Gly-Gly-Gly-Ser-Gly-Gly-Gly-Ser-Gly-Gly-Gly-Ser (SEQ ID NO:8). The number immediately preceding the L refers to the number of linkers 55 separating the GLP-1 portion from the Fc portion. A linker specified as 1.5L refers to the sequence Gly-Ser-Gly-Gly-Gly-Gly-Ser-Gly-Gly-Gly-Gly-Gly-Gly-Ser-Gly-Gly-Gly-Ser (SEQ ID NO:19) IgG4 refers to an analog of the human IgG4 Fc sequence specified as SEQ ID 60 NO:7. Substitutions in the IgG4 Fc portion of the heterologous fusion protein are indicated in parenthesis. The wildtype amino acid is specified by its common abbreviation followed by the position number in the context of the entire IgG4 sequence using the EU numbering system followed by 65 the amino acid being substituted at that position specified by its common abbreviation.

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Although the heterologous fusion proteins of the present invention can be made by a variety of different methods, because of the size of the fusion protein, recombinant methods are preferred. For purposes of the present invention, as disclosed and claimed herein, the following general molecular biology terms and abbreviations are defined below.

"Base pair" or "bp" as used herein refers to DNA or RNA. The abbreviations A, C, G, and T correspond to the 5'-monophosphate forms of the deoxyribonucleosides (deoxy)adenosine, (deoxy)cytidine, (deoxy)guanosine, and thymidine, respectively, when they occur in DNA molecules. The abbreviations U, C, G, and A correspond to the 5'-monophosphate forms of the ribonucleosides uridine, cytidine, guanosine, and adenosine, respectively when they occur in RNA molecules.

In double stranded DNA, base pair may refer to a partnership of A with T or C with G. In a DNA/RNA, heteroduplex base pair may refer to a partnership of A with U or C with G. (See the definition of "complementary", infra.)

"Digestion" or "Restriction" of DNA refers to the catalytic cleavage of the DNA with a restriction enzyme that acts only at certain sequences in the DNA ("sequence-specific endonucleases"). The various restriction enzymes used herein are commercially available and their reaction conditions, cofactors, and other requirements were used as would be known to one of ordinary skill in the art. Appropriate buffers and substrate amounts for particular restriction enzymes are specified by the manufacturer or can be readily found in the literature.

"Ligation" refers to the process of forming phosphodiester bonds between, two double stranded nucleic acid fragments. Unless otherwise provided, ligation may be accomplished using known buffers and conditions with a DNA ligase, such as T4 DNA ligase.

"Plasmid" refers to an extrachromosomal (usually) selfreplicating genetic element.

"Recombinant DNA cloning vector" as used herein refers to any autonomously replicating agent, including, but not limited to, plasmids and phages, comprising a DNA molecule to which one or more additional DNA segments can or have been added.

"Recombinant DNA expression vector" as used herein refers to any recombinant DNA cloning vector in which a promoter to control transcription of the inserted DNA has been incorporated.

"Transcription" refers to the process whereby information contained in a nucleotide sequence of DNA is transferred to a complementary RNA sequence.

"Transfection" refers to the uptake of an expression vector by a host cell whether or not any coding sequences are, in fact, expressed. Numerous methods of transfection are known to the ordinarily skilled artisan, for example, calcium phosphate co-precipitation, liposome transfection, and electroporation. Successful transfection is generally recognized when any indication of the operation of this vector occurs within the host cell.

"Transformation" refers to the introduction of DNA into an organism so that the DNA is replicable, either as an extrachromosomal element or by chromosomal integration. Methods of transforming bacterial and eukaryotic hosts are well known in the art, many of which methods, such as nuclear injection, protoplast fusion or by calcium treatment using calcium chloride are summarized in J. Sambrook, et al., Molecular Cloning: A Laboratory Manual, (1989). Generally, when introducing DNA into Yeast the term transformation is used as opposed to the term transfection.

"Translation" as used herein refers to the process whereby the genetic information of messenger RNA (mRNA) is used to specify and direct the synthesis of a polypeptide chain. Case 2:23-md-03080-BRM-RLS Document 277-3 Filed 09/06/24 Page 6 of 19 PageID: 8606

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"Vector" refers to a nucleic acid compound used for the transfection and/or transformation of cells in gene manipulation bearing polynucleotide sequences corresponding to appropriate protein molecules which, when combined with appropriate control sequences, confers specific properties on 5 the host cell to be transfected and/or transformed. Plasmids, viruses, and bacteriophage are suitable vectors. Artificial vectors are constructed by cutting and joining DNA molecules from different sources using restriction enzymes and ligases. The term "vector" as used herein includes Recombinant DNA 10 cloning vectors and Recombinant DNA expression vectors.

"Complementary" or "Complementarity", as used herein, refers to pairs of bases (purines and pyrimidines) that associate through hydrogen bonding in a double stranded nucleic acid. The following base pairs are complementary: guanine 15 and cytosine; adenine and thymine; and adenine and uracil.

"Primer" refers to a nucleic acid fragment which functions as an initiating substrate for enzymatic or synthetic elongation.

"Promoter" refers to a DNA sequence which directs transcription of DNA to RNA.

"Probe" refers to a nucleic acid compound or a fragment, thereof, which hybridizes with another nucleic acid compound.

"Leader sequence" refers to a sequence of amino acids which can be enzymatically or chemically removed to produce the desired polypeptide of interest.

"Secretion signal sequence" refers to a sequence of amino acids generally present at the N-terminal region of a larger polypeptide functioning to initiate association of that polypeptide with the cell membrane compartments like endoplasmic reticulum and secretion of that polypeptide through the plasma membrane.

Wild-type human IgG4 proteins can be obtained from a variety of sources. For example, these proteins can be obtained from a cDNA library prepared from cells which express the mRNA of interest at a detectable level. Libraries can be screened with probes designed using the published DNA or protein sequence for the particular protein of interest. For example, immunoglobulin light or heavy chain constant regions are described in Adams, et al. (1980) Biochemistry 19:2711-2719; Goughet, et al. (1980) Biochemistry 19:2702-2710; Dolby, et al. (1980) Proc. Natl. Acad. Sci. USA 77:6027-6031; Rice et al. (1982) Proc. Natl. Acad. Sci. USA 79:7862-7862; Falkner, et al. (1982) Nature 298:286-288; and Morrison, et al. (1984) Ann. Rev. Immunol. 2:239-256.

Screening a cDNA or genomic library with the selected probe may be conducted using standard procedures, such as described in Sambrook et al., *Molecular Cloning: A Laboratory Manual*, Cold Spring Harbor Laboratory Press, NY (1989). An alternative means to isolate a gene encoding an immunoglobulin protein is to use PCR methodology [Sambrook et al., supra; Dieffenbach et al., *PCR Primer: A Laboratory Manual*, Cold Spring Harbor Laboratory Press, NY 55 (1995)]. PCR primers can be designed based on published sequences.

Generally the full-length wild-type sequences cloned from a particular library can serve as a template to create the IgG4 Fc analog fragments of the present invention that retain the 60 ability to confer a longer plasma half-life on the GLP-1 analog that is part of the fusion protein. The IgG4 Fc analog fragments can be generated using PCR techniques with primers designed to hybridize to sequences corresponding to the desired ends of the fragment. PCR primers can also be 65 designed to create restriction enzyme sites to facilitate cloning into expression vectors.

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DNA encoding the GLP-1 analogs of the present invention can be made by a variety of different methods including cloning methods like those described above as well as chemically synthesized DNA. Chemical synthesis may be attractive given the short length of the encoded peptide. The amino acid sequence for GLP-1 has been published as well as the sequence of the preproglucagon gene. [Lopez, et al. (1983) Proc. Natl. Acad. Sci., USA 80:5485-5489; Bell, et al. (1983) Nature, 302:716-718; Heinrich, G., et al. (1984) Endocrinol, 115:2176-2181; Ghiglione, M., et al. 91984) Diabetologia 27:599-600]. Thus, primers can be designed based on the native sequence to generate DNA encoding the GLP-1 analogs described herein.

The gene encoding a fusion protein can then be constructed by ligating DNA encoding a GLP-1 analog in-frame to DNA encoding the IgG Fc proteins described herein. The DNA encoding wild-type GLP-1 and IgG4 Fc fragments can be mutated either before ligation or in the context of a cDNA encoding an entire fusion protein. A variety of mutagenesis techniques are well known in the art. The gene encoding the GLP-1 analog and the gene encoding the IgG4 Fc analog protein can also be joined in-frame via DNA encoding a G-rich linker peptide. A preferred DNA sequence encoding one of the preferred heterologous fusion proteins of the present invention, Gly<sup>8</sup>-Glu<sup>22</sup>-Gly<sup>36</sup>-GLP-1(7-37)-1L-IgG4 (S228P, F234A, L235A, des K), is provided as SEQ ID NO:20:

CACGGCGAGGCACCTTCACCTCCGACGTGTCCTCC (SEQ ID NO:20) TATCTCGAGGAGCAGGCCGCCAAGGAATTCATCGCC TGGCTGGTGAAGGGCGGCGGCGGTGGTGGCTCC GGAGGCGGCGCTCTGGTGGCGGTGGCAGCGCTGAG TCCAAATATGGTCCCCCATGCCCACCCTGCCCAGCA CCTGAGGCCGCCGGGGGACCATCAGTCTTCCTGTTC CCCCCAAAACCCAAGGACACTCTCATGATCTCCCGG ACCCCTGAGGTCACGTGCGTGGTGGTGGACGTGAGC CAGGAAGACCCCGAGGTCCAGTTCAACTGGTACGTG GATGGCGTGGAGGTGCATAATGCCAAGACAAAGCCG CGGGAGGAGCAGTTCAACAGCACGTACCGTGTGGTC AGCGTCCTCACCGTCCTGCACCAGGACTGGCTGAAC GGCAAGGAGTACAAGTGCAAGGTCTCCAACAAAGGC CTCCCGTCCTCCATCGAGAAAACCATCTCCAAAGCC AAAGGCCACCCGAGAGCCACAGGTGTACACCCTG CCCCCATCCCAGGAGGAGATGACCAAGAACCAGGTC AGCCTGACCTGCCTGGTCAAAGGCTTCTACCCCAGC GACATCGCCGTGGAGTGGGAAAGCAATGGGCAGCCG GAGAACAACTACAAGACCACGCCTCCCGTGCTGGAC TCCGACGCTCCTTCTTCCTCTACAGCAGCTAACC GTGGACAAGAGCAGGTGGCAGGAGGGGAATGTCTTC TCATGCTCCGTGATGCATGAGGCTCTGCACAACCAC

TACACACAGAAGAGCCTCTCCCTGTCTCTGGGT

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Host cells are transfected or transformed with expression or cloning vectors described herein for heterologous fusion protein production and cultured in conventional nutrient media modified as appropriate for inducing promoters, selecting transformants, or amplifying the genes encoding the 5 desired sequences. The culture conditions, such as media, temperature, pH and the like, can be selected by the skilled artisan without undue experimentation. In general, principles, protocols, and practical techniques for maximizing the productivity of cell cultures can be found in Mammalian Cell 10 Biotechnology: A Practical Approach, M. Butler, ed. (IRL Press, 1991) and Sambrook, et al., supra. Methods of transfection are known to the ordinarily skilled artisan, for example, CaPO<sub>4</sub> and electroporation. General aspects of mammalian cell host system transformations have been 15 described in U.S. Pat. No. 4,399,216. Transformations into yeast are typically carried out according to the method of van Solingen et al., *J Bact.* 130(2): 946-7 (1977) and Hsiao et al., Proc. Natl. Acad. Sci. USA 76(8): 3829-33 (1979). However, other methods for introducing DNA into cells, such as by 20 nuclear microinjection, electroporation, bacterial protoplast fusion with intact cells, or polycations, e.g., polybrene or polyomithine, may also be used. For various techniques for transforming mammalian cells, see Keown, et al., *Methods in* Enzymology 185: 527-37 (1990) and Mansour, et al., Nature 25 336(6197): 348-52 (1988).

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Suitable host cells for cloning or expressing the nucleic acid (e.g., DNA) in the vectors herein include yeast or higher eukaryote cells.

Eukaryotic microbes such as filamentous fungi or yeast are 30 suitable cloning or expression hosts for fusion protein vectors. Saccharomyces cerevisiae is a commonly used lower eukaryotic host microorganism. Others include Schizosaccharomyces pombe [Beach and Nurse, Nature 290: 140-3 (1981); EP 139,383 published 2 May 1995]; Muyveromyces 35 hosts [U.S. Pat. No. 4,943,529; Fleer, et al., *Bio/Technology*] 9(10): 968-75 (1991)] such as, e.g., K lactis (MW98-8C, CBS683, CBS4574) [de Louvencourt et al., J. Bacteriol. 154] (2): 737-42 (1983)]; K. fiagilis (ATCC 12,424), K. bulgaricus (ATCC 16,045), K wickeramii (ATCC 24,178), K waltii 40 (ATCC 56,500), K. drosophilarum (ATCC 36.906) [Van den Berg et al., *Bio/Technology* 8(2): 135-9 (1990)]; *K. thermo*toierans, and K. marxianus; yarrowia (EP 402,226); Pichia pastoris (EP 183,070) [Sreekrishna et al., J. Basic Microbiol. 28(4): 265-78 (1988)]; Candid; Trichoderma reesia (EP 244, 45) 234); Neurospora crassa [Case, et al., Proc. Natl. Acad. Sci. *USA* 76(10): 5259-63 (1979)]; *Schwanniomyces* such as Schwanniomyces occidentulis (EP 394,538 published 31 Oct. 1990); and filamentous fungi such as, e.g., Neurospora, Penicillium, Tolypocladium (WO 91/00357 published 10 Jan. 50 1991), and *Aspergillus* hosts such as *A. nidulans* [Ballance et al., Biochem. Biophys. Res. Comm. 112(1): 284-9 (1983)]; Tilburn, et al., *Gene* 26(2-3): 205-21 (1983); Yelton, et al., Proc. Natl. Acad. Sci. USA 81(5): 1470-4 (1984)) and A. niger [Kelly and Hynes, EMIBO J. 4(2): 475-9 (1985)]. Methylo- 55 tropic yeasts are selected from the genera consisting of Hansenula, Candida, Kloeckera, Pichia, Saccharomyces, Torulopsis, and Rhodotoruia. A list of specific species that are exemplary of this class of yeast may be found in C. Antony, The Biochemistry of Methylotrophs 269 (1982).

Suitable host cells for the expression of the fusion proteins of the present invention are derived from multicellular organisms. Examples of invertebrate cells include insect cells such as *Drosophila* S2 and *Spodoptera* Sp, *Spodoptera* high5 as well as plant cells. Examples of useful mammalian host cell 65 lines include NSO myeloma cells, Chinese hamster ovary (CHO), SP2, and COS cells. More specific examples include

monkey kidney CVI line transformed by SV40 (COS-7, ATCC CRL 1651); human embryonic kidney line [293 or 293 cells subcloned for growth in suspension culture, Graham, et al., J. Gen Virol., 36(1): 59-74 (1977)]; Chinese hamster ovary cells/-DHFR [CHO, Urlaub and Chasin, Proc. Natl. Acad. Sci. USA, 77(7): 4216-20 (1980)]; mouse sertoli cells [TM4, Mather, Biol. Reprod. 23(1):243-52 (1980)]; human lung cells (W138. ATCC CCL 75); human liver cells (Hep G2, HB 8065); and mouse mammary tumor (MMT 060562, ATCC CCL51). A preferred cell, line for production of the Fc fusion proteins of the present invention is the NS0 myeloma cell line available from the European Collection of Cell Cultures (ECACC, catalog #85110503) and described in Galfre, G. and Milstein, C. ((1981) Methods in Enzymology 73(13):3-46; and Preparation of Monoclonal Antibodies: Strategies and Procedures, Academic Press, N.Y., N.Y.).

The fusion proteins of the present invention may be recombinantly produced directly, or as a protein having a signal sequence or other additional sequences which create a specific cleavage site at the N-terminus of the mature fusion protein. In general, the signal sequence may be a component of the vector, or it may be a part of the fusion protein-encoding DNA that is inserted into the vector. For yeast secretion the signal sequence may be, e.g., the yeast invertase leader, alpha factor leader (including Saccharomyces and Kluyveromyces) cc-factor leaders, the latter described in U.S. Pat. No. 5,010, 182), or acid phosphatase leader, the *C. albicans* glucoamylase leader (EP 362,179), or the signal described in WO 90/13646. In mammalian cell expression, mammalian signal sequences may be used to direct secretion of the protein, such as signal sequences from secreted polypeptides of the same or related species as well as viral secretory leaders.

Both expression and cloning vectors contain a nucleic acid sequence that enables the vector to replicate in one or more selected host cells. Expression and cloning vectors will typically contain a selection gene, also termed a selectable marker. Typical selection genes encode proteins that (a) confer resistance to antibiotics or other toxins, e.g., neomycin, methotrexate, or tetracycline, (b) complement autotrophic deficiencies, or (c) supply critical nutrients not available from complex media, e.g., the gene encoding D-alanine racemase for *Bacilli*.

An example of suitable selectable markers for mammalian cells are those that enable the identification of cells competent to take up the fusion protein-encoding nucleic acid, such as DHFR or thymidine kinase. An appropriate host cell when wild-type DHFR is employed is the CHO cell line deficient in DHFR activity, prepared and propagated as described [Urlaub and Chasin, *Proc. Natl. Acad. Sci. USA*, 77(7): 4216-20 (1980)]. A suitable selection gene for use in yeast is the trp1 gene present in the yeast plasmid YRp7 [Stinchcomb, et al., *Nature* 282(5734): 39-43 (1979); Kingsman, et al., *Gene* 7(2): 141-52 (1979); Tschumper, et al., *Gene* 10(2): 157-66 (1980)]. The trp1 gene provides a selection marker for a mutant strain of yeast lacking the ability to grow in tryptophan, for example, ATCC No. 44076 or PEPC1 [Jones, *Genetics* 85: 23-33 (1977)].

Expression and cloning vectors usually contain a promoter operably linked to the fusion protein-encoding nucleic acid sequence to direct mRNA synthesis. Promoters recognized by a variety of potential host cells are well known. Examples of suitable promoting sequences for use with yeast hosts

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include the promoters for 3-phosphoglycerate kinase [Hitzeman, et al., J. Biol. Chem. 255(24): 12073-80 (1980)] or other glycolytic enzymes [Hess et al., J. Adv. Enzyme Reg. 7: 149] (1968); Holland, *Biochemistry* 17(23): 4900-7 (1978)], such as enolase, glyceraldehyde-3-phosphate dehydrogenase, 5 hexokinase, pyruvate decarboxylase, phosphofructokinase, glucose-6-phosphate isomerase, 3-phosphoglycerate mutase, pyruvate kinase, triosephosphate isomerase, phosphoglucose isomerase, and glucokinase. Other yeast promoters, which are inducible promoters having the additional advantage of 10 transcription controlled by growth conditions, are the promoter regions for alcohol dehydrogenase 2, isocytochrome C, acid phosphatase, degradative enzymes associated with nitrogen metabolism, metallothionein, glyceraldehyde-3-phosphate dehydrogenase, and enzymes responsible for maltose 15 and galactose utilization. Suitable vectors and promoters for use in yeast expression are further described in EP 73,657. Transcription of fusion protein-encoding mRNA from vectors in mammalian host cells may be controlled, for example, by promoters obtained from the genomes of viruses such as 20 polyoma virus, fowlpox virus, adenovirus (such as Adenovirus 2), bovine papilloma virus, avian sarcoma virus, cytomegalovirus, a retrovirus, hepatitis-B virus and Simian Virus 40 (SV40), from heterologous mammalian promoters, e.g., the actin promoter or an immunoglobulin promoter, and from 25 heat-shock promoters, provided such promoters are compatible with the host cell systems.

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Transcription of a polynucleotide encoding a fusion protein by higher eukaryotes may be increased by inserting an enhancer sequence into the vector. Enhancers are cis-acting 30 elements of DNA, usually about from 10 to 300 bp, that act on a promoter to increase its transcription. Many enhancer sequences are now known from mammalian genes (globin, elastase, albumin, a-ketoprotein, and insulin). Typically, virus. Examples include the SV40 enhancer on the late side of the replication origin (bp 100-270), the cytomegalovirus early promoter enhancer, the polyoma enhancer on the late side of the replication origin, and adenovirus enhancers. The enhancer may be spliced into the vector at a position 5' or 3' to 40 the fusion protein coding sequence but is preferably located at a site 5' from the promoter.

Expression vectors used in eukaryotic host cells (yeast, fungi, insect, plant, animal, human, or nucleated cells from other multicellular organisms) will also contain sequences 45 necessary for the termination of transcription and for stabilizing the mRNA. Such sequences are commonly available from the 5' and occasionally 3' untranslated regions of eukaryotic or viral DNAs or cDNAs. These regions contain nucleotide segments transcribed as polyadenylated fragments 50 in the untranslated portion of the mRNA encoding the fusion protein.

Various forms of a fusion protein may be recovered from culture medium or from host cell lysates. If membranebound, it can be released from the membrane using a suitable 55 detergent solution (e.g., Triton-X 100) or by enzymatic cleavage. Cells employed in expression of a fusion protein can be disrupted by various physical or chemical means, such as freeze-thaw cycling, sonication, mechanical disruption, or cell lysing agents.

Once the heterologous fusion proteins of the present invention are expressed in the appropriate host cell, the analogs can be isolated and purified. The following procedures are exemplary of suitable purification procedures: fractionation on carboxymethyl cellulose; gel filtration such as Sephadex 65 G-75; anion exchange resin such as DEAE or Mono-Q; cation exchange such as CM or Mono-S; metal chelating columns to

bind epitope-tagged forms of the polypeptide; reversed-phase HPLC; chromatofocusing; silica gel; ethanol precipitation; and ammonium sulfate precipitation.

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Various methods of protein purification may be employed and such methods are known in the art and described, for example, in Deutscher, Methods in Enzymology 182: 83-9 (1990) and Scopes, Protein Purification: Principles and *Practice*, Springer-Verlag, NY (1982). The purification step(s) selected will depend on the nature of the production process used and the particular fusion protein produced. For example, fusion proteins comprising an Fc fragment can be effectively purified using a Protein A or Protein G affinity matrix. Low or high pH buffers can be used to elute the fusion protein from the affinity matrix. Mild elution conditions will aid in preventing irreversible denaturation of the fusion pro-

The heterologous fusion proteins of the present invention may be formulated with one or more excipients. The fusion proteins of the present invention may be combined with a pharmaceutically acceptable buffer, and the pH adjusted to provide acceptable stability, and a pH acceptable for administration such as parenteral administration. Optionally, one or more pharmaceutically-acceptable anti-microbial agents may be added. Meta-cresol and phenol are preferred pharmaceutically-acceptable microbial agents. One or more pharmaceutically-acceptable salts may be added to adjust the ionic strength or tonicity. One or more excipients may be added to further adjust the isotonicity of the formulation. Glycerin is an example of an isotonicity-adjusting excipient. Pharmaceutically acceptable means suitable for administration to a human or other animal and thus, does not contain toxic elements or undesirable contaminants and does not interfere with the activity of the active compounds therein.

The heterologous fusion proteins of the present invention however, one will use an enhancer from a eukaryotic cell 35 may be formulated as a solution formulation or as a lyophilized powder that can be reconstituted with an appropriate diluent. A lyophilized dosage form is one in which the fusion protein is stable, with or without buffering capacity to maintain the pH of the solution over the intended in-use shelf-life of the reconstituted product. It is preferable that the solution comprising the heterologous fusion proteins discussed herein before lyphilization be substantially isotonic to enable formation of isotonic solutions after reconstitution.

> A pharmaceutically-acceptable salt form of the heterologous fusion proteins of the present invention are within the scope of the invention. Acids commonly employed to form acid addition salts are inorganic acids such as hydrochloric acid, hydrobromic acid, hydriodic acid, sulfuric acid, phosphoric acid, and the like, and organic acids such as p-toluenesulfonic acid, methanesulfonic acid, oxalic acid, p-bromophenyl-sulfonic acid, carbonic acid, succinic acid, citric acid, benzoic acid, acetic acid, and the like. Preferred acid addition salts are those formed with mineral acids such as hydrochloric acid and hydrobromic acid.

Base addition salts include those derived from inorganic bases, such as ammonium or alkali or alkaline earth metal hydroxides, carbonates, bicarbonates, and the like. Such bases useful in preparing the salts of this invention thus include sodium hydroxide, potassium hydroxide, ammonium 60 hydroxide, potassium carbonate, and the like.

The heterologous fusion proteins of the present invention have biological activity. Biological activity refers to the ability of the fusion protein to bind to and activate the GLP-1 receptor in vivo and elicit a response. Responses include, but are not limited to, secretion of insulin, suppression of glucagon, inhibition of appetite, weight loss, induction of satiety, inhibition of apoptosis, induction of pancreatic beta cell pro-

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liferation, and differentiation of pancreatic beta cells. A representative number of GLP-1 fusion proteins were tested for in vitro as well as in vivo activity. Examples 1 and 2 provide in vitro activity based on the ability of the fusion protein to interact with and activate the human GLP-1 receptor. In both 5 sets of experiments, HEK293 cells over-expressing the human GLP-1 receptor were used. Activation of the GLP-1 receptor in these cells causes adenylyl cyclase activation which in turn induces expression of a reporter gene driven by a cyclic AMP response element (CRE). Example 1 (table 1) 10 provides data wherein the reporter gene is beta lactamase, and example 2 (table 2) provides data wherein the reporter gene is luciferase. Example 3 provides data generated after administration of one of the heterologous fusion proteins of the  $_{15}$ present invention to rats. Together the data show that the fusion proteins are able to bind to and activate the GLP-1 receptor and appear more potent in vitro than Val<sup>8</sup>-GLP-1(7-37)OH. In addition, the data generated in rats indicate the fusion proteins are active in vivo and have a longer half-life 20 than native GLP-1.

Administration of the heterogeneous fusion proteins may be via any route known to be effective by the physician of ordinary skill. Peripheral parenteral is one such method. Parenteral administration is commonly understood in the medical literature as the injection of a dosage form into the body by a sterile syringe or some other mechanical device such as an infusion pump. Peripheral parenteral routes can include intravenous, intramuscular, subcutaneous, and intraperitoneal routes of administration.

The heterologous fusion proteins of the present invention may also be amenable to administration by oral, rectal, nasal, or lower respiratory routes, which are non-parenteral routes. Of these non-parenteral routes, the lower respiratory route and the oral route are preferred.

The fusion proteins of the present invention can be used to treat a wide variety of diseases and conditions. The fusion proteins of the present invention primarily exert their biological effects by acting at a receptor referred to as the "GLP-1 receptor." Subjects with diseases and/or conditions that respond favorably to GLP-1 receptor stimulation or to the administration of GLP-1 compounds can therefore be treated with the GLP-1 fusion proteins of the present invention. These subjects are said to "be in need of treatment with GLP-1 compounds" or "in need of GLP-1 receptor stimulation". Included are subjects with non-insulin dependent diabetes, insulin dependent diabetes, stroke (see WO 00/16797), myocardial infarction (see WO 98/08531), obesity (see WO 98/19698), catabolic changes after surgery (see U.S. Pat. No. 6,006,753), functional dyspepsia and irritable bowel syndrome (see WO 99/64060). Also included are subjects requiring prophylactic treatment with a GLP-1 compound, e.g., subjects at risk for developing non-insulin dependent diabetes (see WO 00/07617). Subjects with impaired glucose tolerance or impaired fasting glucose, subjects whose body weight is about 25% above normal body weight for the subject's height and body build, subjects with a partial pancreatectomy, subjects having one or more parents with noninsulin dependent diabetes, subjects who have had gestational 60 diabetes and subjects who have had acute or chronic pancreatitis are at risk for developing non-insulin dependent diabetes.

An effective amount of the GLP-1-Fc fusion proteins described herein is the quantity which results in a desired 65 therapeutic and/or prophylactic effect without causing unacceptable side-effects when administered to a subject in need

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of GLP-1 receptor stimulation. A "desired therapeutic effect" includes one or more of the following: 1) an amelioration of the symptom(s) associated with the disease or condition; 2) a delay in the onset of symptoms associated with the disease orcondition; 3) increased longevity compared with the absence of the treatment; and 4) greater quality of life compared with the absence of the treatment. For example, an "effective amount" of a GLP-1-Fc fusion protein for the treatment of diabetes is the quantity that would result in greater control of blood glucose concentration than in the absence of treatment, thereby resulting in a delay in the onset of diabetic complications such as retinopathy, neuropathy or kidney disease. An "effective amount" of a GLP-1-Fc fusion protein for the prevention of diabetes is the quantity that would delay, compared with the absence of treatment, the onset of elevated blood glucose levels that require treatment with anti-hypoglycaemic drugs such as sulfonyl ureas, thiazolidinediones, insulin and/or bisguanidines.

The dose of fusion protein effective to normalize a patient's blood glucose will depend on a number of factors, among which are included, without limitation, the subject's sex, weight and age, the severity of inability to regulate blood glucose, the route of administration and bioavailability, the pharmacokinetic profile of the fusion protein, the potency, and the formulation. Doses may be in the range of 0.01 to 1 mg/kg body weight, preferably in the range of 0.05 to 0.5 mg/kg body weight.

It is preferable that the fusion proteins of the present invention be administered either once every two weeks or once a week. Depending on the disease being treated, it may be necessary to administer the fusion protein more frequently such as two to three time per week.

The present invention will now be described only by way of non-limiting example with reference to the following Examples.

#### EXAMPLES

#### Example 1

In Vitro GLP-1 Receptor Activation Assay

HEK-293 cells expressing the human GLP-1 receptor, using a CRE-BLAM system, are seeded at 20,000 to 40,000 cells/well/100 µl DMEM medium with 10% FBS into a polyd-lysine coated 96 well black, clear-bottom plate. The day after seeding, the medium is flicked off and 80 µl plasma-free DMEM medium is added. On the third day after seeding, 20 μl of plasma-free DMEM medium with 0.5% BSA containing different concentrations of various GLP-1-Fc heterologous fusion protein is added to each well to generate a dose response curve. Generally, fourteen dilutions containing from 3 nanomolar to 30 nanomolar or heterologous GLP-1 Fc fusion protein are used to generate a dose response curve from which EC<sub>50</sub> values can be determined. After 5 hours of incubation with the fusion protein, 20  $\mu$ l of  $\beta$ -lactamase substrate (CCF2/AM, PanVera LLC) is added and incubation continued for 1 hour at which time fluorescence is determined on a

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cytofluor. The assay is further described in Zlokarnik, et al. (1998), *Science*, 278:84-88. Various GLP-1-Fc fusion proteins are tested and EC<sub>50</sub> values are represented in Table 1. The values are relative to values determined for Val<sup>8</sup>-GLP-1 (7-37)OH which is run as an internal control with every 5 experiment.

TABLE 1

Compound	Activ- ity	Std. Dev.
Val <sup>8</sup> -GLP-1: Gly <sup>8</sup> -Glu <sup>22</sup> -GLP-1(7-37)-2L-IgG4(S228P, F234A, L235A): Gly <sup>8</sup> -Glu <sup>22</sup> -GLP-1(7-37)-1.5L-IgG4(S228P, F234A, L235A): Gly <sup>8</sup> -Glu <sup>22</sup> -GLP-1(7-37)-1L-IgG4(S228P, F234A, L235A): Gly <sup>8</sup> -Glu <sup>22</sup> -Gly <sup>36</sup> -GLP-1(7-37)-2L-IgG4(S228P, F234A, L235A):	100% 301% 314% 468% 441%	99 45 120 35

#### Example 2

#### In Vitro GLP-1 Receptor Activation Assay

HEK-293 cells stably expressing the human GLP-1 receptor, using a CRE-Luciferase system, are seeded at 30,000 cells/well/80 µl low serum DMEM F12 medium into 96 well plates. The day after seeding, 20 µl aliquots of test protein dissolved in 0.5% BSA are mixed and incubated with the cells  $_{30}$ for 5 hours. Generally 12 dilutions containing from 3 pM to 3 nM are prepared at a 5× concentration for each test protein before addition to the cells to generate a dose response curve from which  $EC_{50}$  values are determined. After incubation, 100 μl of Luciferase reagent is added directly to each plate 35 and mixed gently for 2 minutes. Plates are placed in a Tri-lux luminometer and light output resulting from luciferase expression is calculated. Various GLP-1-Fc fusion proteins are tested and  $EC_{50}$  values are represented in Table 2. The values are relative to values determined for Val<sup>8</sup>-GLP-1(7-37)OH which is run as an internal control with every experiment. Because the fusion proteins tested below are dimers, values are corrected taking into account a 2-fold difference in molarity.

TABLE 2

			_
Compound	Activ- ity	Std. Dev.	
Val <sup>8</sup> -GLP-1:	100%		<b>-</b> 50
Gly <sup>8</sup> -Glu <sup>22</sup> -GLP-1(7-37)-2L-IgG4(S228P, F234A,	535%	240	٥,
L235A): Gly <sup>8</sup> -Glu <sup>22</sup> -GLP-1(7-37)-1.5L-IgG4(S228P, F234A,	595%	43	
L235A): Gly <sup>8</sup> -Glu <sup>22</sup> -GLP-1(7-37)-1L-IgG4(S228P, F234A,	1119%	128	
L235A):	111770	120	E i
Gly <sup>8</sup> -Glu <sup>22</sup> -Gly <sup>36</sup> -GLP-1(7-37)-2L-IgG4(S228P,	398%	62	5:
F234A, L235A): Gly <sup>8</sup> -Glu <sup>22</sup> -Gly <sup>36</sup> -GLP-1(7-37)-1L-IgG4(S228P,	417%	140	
F234A, L235A):			

#### Example 3

#### Intravenous Glucose Tolerance Test in Rats

The Fc fusion protein, Gly<sup>8</sup>-Glu<sup>22</sup>-Gly<sup>36</sup>-GLP-1(7-37)-L- 65 IgG4 (S228P,F234A,L235A), is evaluated in an intravenous glucose tolerance test (IVGTT) in rats. At least four rats are

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included into each of three groups. Group I receives vehicle (table 3), Group II receives 1.79 mg/kg of Gly<sup>8</sup>-Glu<sup>22</sup>-Gly<sup>36</sup>-GLP-1(7-37)-L-IgG4 (S228P,F234A,L235A) as a single subcutaneous injection (table 4), and Group III receives 0.179 mg/kg of Gly<sup>8</sup>-Glu<sup>22</sup>-Gly<sup>36</sup>-GLP-1(7-37)-L-IgG4 (S228P, F234A,L235A) as a single subcutaneous injection (table 5). Rats are subcutaneously injected the morning of Day 1. Twenty-four hours following the first injection, 1 μL of glucose (D50) per gram rat body weight is infused as a bolus. Blood samples are taken at 2, 4, 6, 10, 20, and 30 minutes following the bolus infusion of glucose.

TABLE 3

15		Insulin AUC (ng*min/mL)						
	Vehicle:	Rat 1	Rat 2	Rat 3	Rat 4	Rat 5	Average	SEM
20	0-2 2-4 4-6 6-10 10-20 20-30	11 18.1 13.4 7.9 3.7 2	9.4 9.7 7 3.5 3	7 5.6 3.4 2.5 2.4 0	11 10.6 9.6 6 3	9.6 8.8 5.9 2.9 2.4 2.4		
	sum	56.1	32.6	20.9	40.2	32	36.4	5.8

TABLE 4

			Insulin A	UC (ng*	min/mL)	_		
			(	GLP-1-Fo	>			
	(1.79 mg/kg)	Rat 1	Rat 2	Rat 3	Rat 4	Rat 5	Average	SEM
•	0-2	12.3	17.4	16	14	13		
	2-4	21.9	13.3	13.2	13.9	13.6		
	4-6	16.8	6.5	9.8	11.1	11.7		
	6-10	7.6	3.8	9.2	5.8	7.4		
	10-20	3	0	0	3.2	5.6		
	20-30	0	0	0	0	0		
	sum	61.6	41	48.2	48	51.3	50	3.4

TABLE 5

	Insulin AUC (ng*min/mL)							
	(0.179 mg/kg)	Rat 1	GLP- Rat 2	1-Fc Rat 3	Rat 4	Average	SEM	
1	0-2 2-4 4-6 6-10 10-20 20-30	14.4 13.8 11.2 6.4 3.6 0	29.2 26.3 19.4 10.6 5.8 0	25.4 21.2 16.4 10.5 5.2	23.2 21.8 15.7 8 5			
	sum	49.4	91.3	78.7	73.7	78.7	8.7	

#### Example 4

Pharmacokinetic Study Following a Single Subcutaneous Injection to Cynomolgus Monkeys

A study is performed to characterize the pharmacokinetics (PK) of the Fc fusion protein, Gly<sup>8</sup>-Glu<sup>22</sup>-Gly<sup>6</sup>"-GLP-1(7-37)-L-IgG4 (S228P,F234A,L235A), when administered as a 0.1 mg/kg by subcutaneous (SC) injection to male cynomolgus monkeys. RIA antibody is specific for the middle portion of GLP. ELISA uses an N-terminus specific capture antibody and an Fc specific detection antibody. Resulting plasma con-

centrations from both the ELISA and the RIA are used to determine the represented pharmacokinetic parameter values. A representation of the resulting PK parameter values is summarized in table 6. Single-dose SC PK from the RIA is associated with a mean  $C_{max}$  of 446.7 ng/mL with a corresponding  $T_{max}$  of 17.3 hours. The mean elimination half-life is approximately 79.3 hours (3.3 days). The PK from the ELISA is associated with a mean  $C_{max}$  of 292.2 ng/mL with a corresponding  $T_{max}$  of 16.7 hours. The mean elimination half-life is approximately 51.6 hours (2.2 days).

TABLE 6

Dose (mg/kg)	Animal #	C <sub>max</sub> <sup>a</sup> (ng/ mL)	T <sub>max</sub> <sup>b</sup> (h)	AUC <sub>0-∞</sub> ° (ng*h/mL)	t <sub>1/2</sub> <sup>d</sup> (h)	CL/F <sup>e</sup> (mL/ h/kg)	Vss/F <sup>f</sup> (mL/kg)
			_	RIA			
0.1	96051	461.0	4.0	37770.5	81.0	2.7	309.2
	96071	430.0	24.0	43150.2	74.2	2.3	248.1
	96091	449.0	24.0	62271.1	82.9	1.6	191.9
RIA	Mean	446.7	17.3	47730.6	79.3	2.2	249.8
	SD	15.6	11.5	12876.5	4.5	0.5	58.7
			<u>I</u>	ELISA			
	96051	315.4	2.0	9062.3	55.2	11.0	879.4
	96071	289.4	24.0	16653.0	50.3	6.0	436.0
	96091	271.9	24.0	19907.4	49.3	5.0	357.0
ELISA	Mean SD	292.2 21.9	16.7 12.7	15207.6 5565.2	51.6 3.2	7.3 3.2	557.5 281.6

<sup>&</sup>lt;sup>a</sup>Maximum observed plasma concentration.

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#### Example 5

Assessment of the Potential Formation of Antibodies Following Repeat Subcutaneous Injections

Designated serum samples from cynomolgus monkeys are tested for the formation of antibodies against Gly<sup>8</sup>-Glu<sup>22</sup>-<sup>10</sup> Gly<sup>36</sup>-GLP-1(7-37)-L-IgG4 (S228P,F234A,L235A) using a direct ELISA format. Microtiter plates are coated with Gly<sup>8</sup>-Glu<sup>22</sup>-Gly<sup>6</sup>-GLP-1(7-37)-L-IgG4 (S228P,F234A,L235A) at a 0.1 μg/mL concentration. Monkey serum samples are diluted 50, 500, 1000 and 5000 fold into blocking solution, and 0.05 mL sample/well are incubated approximately one hour. Secondary antibody, Goat<Human Fab'2>-Peroxidase (with 75% cross reactivity to human), is diluted 10,000 fold into block and added at 0.05 ml/well and incubated approximately one hour. Color development using tetramethylbenzidine (TMB) substrate is read at an optical density of 450 nm-630 nm. Duplicate readings are averaged. A GLP-1 antibody was used as a positive control and goat<rabbit>(H+L)-Peroxidase conjugate is the secondary used for detection. Point serum samples are collected prior to dosing, at 24 hours following the second dose, and 168 hours following the first and second SC dose for an evaluation of potential immunogenicity. The presence of antibody titers to G8E22-CEX-LhIgG4 is interpreted by comparison to predose serum samples and positive control. A representation of the results is presented in table 7.

TABLE 7

	Dose 1 Animal#								
Sample Time:	Positive Control	IO7774 Predose	168 h	IO7777 Predose	168 h	IO7779 Predose	168 h	IO7780 Predose	168 h
50× 500× 1000× 5000×	2.854 2.270 1.610 0.525	0.268 0.117 0.091 0.056	0.268 0.133 0.075 0.048	0.160 0.052 0.034 0.032	0.128 0.069 0.051 0.037	0.144 0.065 0.047 0.029	0.152 0.061 0.045 0.033	0.264 0.067 0.138 0.051	0.224 0.061 0.049 0.039
				Dose	2 Anim	al#			
Sample Time:	Positive Control	IO7774 Predose	24 h	IO7777 Predose	24 h	IO7779 Predose	24 h	IO7780 Predose	24 h
50× 500× 1000× 5000×	3.056 2.247 1.673 0.534	0.298 0.120 0.090 0.039	0.231 0.119 0.086 0.042	0.164 0.048 0.039 0.030	0.159 0.045 0.041 0.034	0.227 0.061 0.046 0.033	0.176 0.060 0.045 0.036	0.211 0.056 0.043 0.033	0.192 0.057 0.048 0.034
				Dose	1 Anim	al#			
Sample Time:	Positive Control	IO7774 Predose	168 h	IO7777 Predose	168 h	IO7779 Predose	168 h	IO7780 Predose	168 h
50× 500× 1000× 5000×	3.075 2.173 1.510 0.474	0.413 0.097 0.066 0.042	0.270 0.103 0.067 0.042	0.174 0.042 0.038 0.033	0.182 0.051 0.040 0.046	0.185 0.056 0.037 0.033	0.190 0.057 0.046 0.033	0.224 0.048 0.043 0.036	0.191 0.053 0.043 0.041

<sup>&</sup>lt;sup>b</sup>Time of maximum observed plasma concentration.

<sup>&</sup>lt;sup>c</sup>Area under the plasma concentration-time curve measured from 0 to infinity.

ity.
<sup>d</sup>Elimination half-life.

eTotal body clearance as a function of bioavailability.

<sup>&</sup>lt;sup>f</sup>Volume of distribution as a function of bioavailability.

SD = Standard deviation.

Pharmacodynamic Study Following a Single Subcutaneously Injection to Cynomolgus Monkeys in the Fasting State and During a Graded Intravenous Glucose Infusion

In Phase 1 (Study Day 1) a subcutaneous injection of vehicle is administered. A graded intravenous glucose (20% 10 dextrose) infusion of 5, 10, and 25 mg/kg/min is then administered immediately after the vehicle injection. In Phase 2 (Study Day 3), a subcutaneous injection of a GLP-1 fusion protein (0.1 mg/kg) is administered. In Phase 3, a graded intravenous glucose infusion is performed approximately 96 15 hours following the GLP-1 fusion injection.

Graded intravenous glucose infusion procedures are conducted in sedated monkeys after a 16-hr overnight fast. For both intravenous glucose infusions, baseline samples will be 20 drawn every 10 min for 20 min to define baseline. A steppedup glucose infusion is initiated at +20 min at a rate of 5 mg/kg/min, followed by infusions of 10 mg/kg/min, and 25 mg/kg/min. Each infusion rate is administered for a period of 20 minutes. Blood samples are taken at 10 minute intervals for measurement of glucose, insulin, and glucagon. Approximately 1.0 mL of blood is collected at -20, -10 min, 0 pre-glucose infusions, and at 10, 20, 30, 40, 50, and 60 minutes following glucose infusion for Phases 1 and 3.

A representation of the data are shown in table 8.

TABLE 8

Glucose AUC						
Group	Animal	AUC (min*mg/dL)	Group	Animal	AUC (min*mg/dL)	40
GLP-Fc	9423	7447	vehicle	9423	8077	
	9424	7470		9424	15006	
	9510	5153		9510	7116	
	9513	6303		9513	7459	45
	9516	5413		9516	8728	
	9530	5240		9530	7863	
				$\mathbf{N}$	6	
	Mean	6171		Mean	9041	50

TABLE 8-continued

5.		SD SE	1078 440		SD SE	2973 1214
•			Insulin AU	JC_		
_	Group	Animal	AUC (min*ng/mL)	Group	Animal	AUC (min*ng/mL)
0	GLP-Fc	9423 9424 9510 9513 9516 9530	129 138 357 161 376 215	vehicle	9423 9424 9510 9513 9516 9530	38 29 69 64 38 68
5		Mean SD SE	229 111 45		Mean SD SE	51 18 7

Glucagon levels were not statistically different between the vehicle and the GLP-1 fusion protein dosed monkeys

#### Example 7

Pharmacodynamic Study Following Single Subcutaneously Injections of Three Different Doses to Rats in the Fasting State and During a Graded Intravenous Glucose Infusion

Chronically cannulated rats are assigned to either vehicle control (saline) or one of 3 treatment groups (GLP-1 fusion protein; 0.0179 mg/kg, 0.179 mg/kg, or 1.79 mg/kg). The GLP-1 fusion protein and vehicle are administered via subcutaneous injection. Twenty-four hours after treatment, overnight fasted (16 h) rats are subjected to a graded intravenous glucose infusion test. The graded glucose infusion test consists of a baseline saline infusion period (20 min), followed by two 30 min glucose infusion phases at 5 and 15 mg/kg/min, respectively. Plasma samples are collected at -20, -10 min, 0 pre-glucose infusions (baseline), and at 10, 20, 30, 40, 50, and 60 minutes.

A representation of the data are shown in table 9.

TABLE 9

	5 mg/Kg/min	15 mg/Kg/min
Vehicle 0.0179 mg 0.179 mg/S 1.79 mg/K	Kg 9.0 $\pm 1.1*$ (n = 6)	$15.9 \pm 1.8 (n = 4)$ $28.0 \pm 3.8* (n = 6)$

50 \*P  $\leq 0.05$  versus vehicle

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Val Glu Val His Asn Ala Lys Thr Lys Pro Arg Glu Glu Gln Phe Xaa
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Ser Thr Tyr Arg Val Val Ser Val Leu Thr Val Leu His Gln Asp Trp
Leu Asn Gly Lys Glu Tyr Lys Cys Lys Val Ser Asn Lys Gly Leu Pro
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23

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30

55

60

65

#### We claim:

1. A heterologous fusion protein comprising:

a GLP-1 analog comprising SEQ ID NO:1

His-Xaa<sub>8</sub>-Glu-Gly-Thr-Phe-Thr-Ser-Asp-Val-Ser-Ser-Tyr-Leu-Glu-Glu-Gln-Ala-Ala-Lys-Glu-Phe-Ile-Ala-Trp-Leu-Val-Lys-Gly-Gly-Gly wherein Xaa<sub>8</sub> is Gly;

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an Fc portion of an immunoglobulin comprising SEQ ID NO:7

Ala-Glu-Ser-Lys-Tyr-Gly-Pro-Pro-Cys-Pro-Pro-Cys-Pro-Ala-Pro-Xaa<sub>16</sub>-Xaa<sub>17</sub>-Xaa<sub>18</sub>-Gly-Gly-Pro-Ser-Val-Phe-Leu-Phe-Pro-Pro-Lys-Pro-Lys-Asp-Thr-Leu-Met-Ile-Ser-Arg-Thr-Pro-Glu-Val-Thr-Cys-Val-Val-Val-Asp-Val-Ser-Gln-Glu-Asp-Pro-Glu-Val-Gln-Phe-Asn-Trp-Tyr-Val-Asp-Gly-Val-Glu-Val-His-Asn-Ala-Lys-Thr-Lys-Pro-Arg-Glu-Glu-Gln-Phe-Xaa<sub>80</sub>-Ser-Thr-Tyr-Arg-Val-Val-Ser-Val-Leu-Thr-Val-Leu-His-Gln-Asp-Trp-Leu-Asn-Gly-Lys-Glu-Tyr-Lys-Cys-Lys-Val-Ser-Asn-Lys-Gly-Leu-Pro-Ser-Ser-Ile-Glu-Lys-Thr-Ile-Ser-Lys-Ala-Lys-Gly-Gln-Pro-Arg-Glu-Pro-Gln-Val-Tyr-Thr-Leu-Pro-Pro-Ser-Gln-Glu-Glu-Met-Thr-Lys-Asn-Gln-Val-Ser-Leu-Thr-Cys-Leu-Val-Lys-Gly-Phe-Tyr-Pro-Ser-Asp-Ile-Ala-Val-Glu-Trp-Glu-Ser-Asn-Gly-Gln-Pro-Glu-Asn-Asn-Tyr-Lys-Thr-Thr-Pro-Pro-Val-Leu-Asp-Ser-Asp-Gly-Ser-Phe-Phe-Leu-Tyr-Ser-Arg-Leu-Thr-Val-Asp-Lys-Ser-Arg-Trp-Gln-Glu-Gly-Asn-Val-Phe-Ser-Cys-Ser-Val-Met-His-Glu-Ala-Leu-His-Asn-His-Tyr-Thr-Gln-Lys-Ser-Leu-Ser-Leu-Ser-Leu-Gly-Xaa<sub>230</sub>

#### wherein:

Xaa at position 16 is Glu;

Xaa at position 17 is Ala;

Xaa at position 18 is Ala;

Xaa at position 80 is Asn; and

Xaa at position 230 is Lys;

and a peptide linker comprising SEQ ID NO:8

Gly-Gly-Gly-Ser-Gly-Gly-Gly-Gly-Ser-Gly-Gly-Gly-Gly-Ser

**28** 

wherein the N-terminal glycine of the peptide linker is directly fused to the C-terminal glycine residue of the GLP-1 analog and the C-terminal serine of the peptide linker is directly fused to the N-terminal alanine of the Fc portion.

2. A heterologous fusion protein comprising:

a GLP-1 analog comprising SEQ ID NO:1

His-Xaa<sub>8</sub>-Glu-Gly-Thr-Phe-Thr-Ser-Asp-Val-Ser-Ser-Tyr-Leu-Glu-Glu-Gln-Ala-Ala-Lys-Glu-Phe-Ile-Ala-Trp-Leu-Val-Lys-Gly-Gly-Gly

wherein Xaa<sub>8</sub> is Gly;

an Fc portion of an immunoglobulin comprising SEQ ID NO:7

Ala-Glu-Ser-Lys-Tyr-Gly-Pro-Pro-Cys-Pro-Pro-Cys-Pro-Ala-Pro-Xaa<sub>16</sub>-Xaa<sub>17</sub>-Xaa<sub>18</sub>-Gly-Gly-Pro-Ser-Val-Phe-Leu-Phe-Pro-Pro-Lys-Pro-Lys-Asp-Thr-Leu-Met-Ile-Ser-Arg-Thr-Pro-Glu-Val-Thr-Cys-Val-Val-Val-Asp-Val-Ser-Gln-Glu-Asp-Pro-Glu-Val-Gln-Phe-Asn-Trp-Tyr-Val-Asp-Gly-Val-Glu-Val-His-Asn-Ala-Lys-Thr-Lys-Pro-Arg-Glu-Glu-Gln-Phe-Xaa<sub>80</sub>-Ser-Thr-Tyr-Arg-Val-Val-Ser-Val-Leu-Thr-Val-Leu-His-Gln-Asp-Trp-Leu-Asn-Gly-Lys-Glu-Tyr-Lys-Cys-Lys-Val-Ser-Asn-Lys-Gly-Leu-Pro-Ser-Ser-Ile-Glu-Lys-Thr-Ile-Ser-Lys-Ala-Lys-Gly-Gln-Pro-Arg-Glu-Pro-Gln-Val-Tyr-Thr-Leu-Pro-Pro-Ser-Gln-Glu-Glu-Met-Thr-Lys-Asn-Gln-Val-Ser-Leu-Thr-Cys-Leu-Val-Lys-Gly-Phe-Tyr-Pro-Ser-Asp-Ile-Ala-Val-Glu-Trp-Glu-Ser-Asn-Gly-Gln-Pro-Glu-Asn-Asn-Tyr-Lys-Thr-Thr-Pro-Pro-Val-Leu-Asp-Ser-Asp-Gly-Ser-Phe-Phe-Leu-Tyr-Ser-Arg-Leu-Thr-Val-Asp-Lys-Ser-Arg-Trp-Gln-Glu-Gly-Asn-Val-Phe-Ser-Cys-Ser-Val-Met-His-Glu-Ala-Leu-His-Asn-His-Tyr-Thr-Gln-Lys-Ser-Leu-Ser-Leu-Ser-Leu-Gly-Xaa<sub>230</sub>

wherein:

Xaa at position 16 is Glu;

Xaa at position 17 is Ala;

Xaa at position 18 is Ala;

Xaa at position 80 is Asn; and

Xaa at position 230 is absent;

and a peptide linker comprising SEQ ID NO:8

Gly-Gly-Gly-Ser-Gly-Gly-Gly-Gly-Ser-Gly-Gly-Gly-Gly-Ser

wherein the N-terminal glycine of the peptide linker is 10 directly fused to the C-terminal glycine residue of the GLP-1 analog and the C-terminal serine of the peptide linker is directly fused to the N-terminal alanine of the Fc portion.

3. A heterologous fusion protein whose amino acid 15 sequence consists of:

a GLP-1 analog consisting of SEQ ID NO:1

His-Xaa<sub>8</sub>-Glu-Gly-Thr-Phe-Thr-Ser-Asp-Val-Ser-Ser-Tyr-Leu-Glu-Glu-Gln-Ala-Ala-Lys-Glu-Phe-Ile-Ala-Trp-Leu-Val-Lys-Gly-Gly-Gly

wherein Xaa<sub>8</sub> is Gly;

an Fc portion of an immunoglobulin consisting of SEQ ID NO:7

Ala-Glu-Ser-Lys-Tyr-Gly-Pro-Pro-Cys-Pro-Pro-Cys-Pro-Ala-Pro-Xaa<sub>16</sub>-Xaa<sub>17</sub>-Xaa<sub>18</sub>-Gly-Gly-Pro-Ser- 25 Val-Phe-Leu-Phe-Pro-Pro-Lys-Pro-Lys-Asp-Thr-Leu-Met-Ile-Ser-Arg-Thr-Pro-Glu-Val-Thr-Cys-Val-Val-Val-Asp-Val-Ser-Gln-Glu-Asp-Pro-Glu-Val-Gln-Phe-Asn-Trp-Tyr-Val-Asp-Gly-Val-Glu-Val-His-Asn-Ala-Lys-Thr-Lys-Pro-Arg-Glu-Glu-Gln-Phe-Xaa<sub>80</sub>-Ser-Thr-Tyr-Arg-Val-Val-Ser-Val-Leu-Thr-Val-Leu-His-Gln-Asp-Trp-Leu-Asn-Gly-Lys-Glu-Tyr-Lys-Cys-Lys-Val-Ser-Asn-Lys-Glu-Leu-Pro-Ser-Ser-Ile-Glu-Lys-Thr-Ile-Ser-Lys-Ala-Lys-Gly-Gln-Pro-Arg-Glu-Pro-Gln-Val-Tyr-Thr-Leu-Pro-Pro-Ser-Gln-Glu-Glu-Met-Thr-Lys-Asn-Gln-Val-Ser-Leu-Thr-Cys-Leu-Val-Lys-Gly-Phe-Tyr-Pro-Ser-Asp-Ile-Ala-Val-Glu-Trp-Glu-Ser-Asn-Gly-Gln-Pro-Glu-Asn-Asn-Tyr-Lys-Thr-Thr-Pro-Pro-Val-Leu-Asp-Ser-Asp-Gly-Ser-Phe-Phe-Leu-Tyr-Ser-Arg-Leu-Thr-Val-Asp-Lys-Ser-Arg-Trp-Gln-Glu-Gly-Asn-Val-Phe-Ser-Cys-Ser-Val-Met-His-Glu-Ala-Leu-His-Asn-His-Tyr-Thr-Gln-Lys-Ser-Leu-Ser-Leu-Ser-Leu-Gly-Xaa<sub>230</sub>

#### wherein:

Xaa at position 16 is Glu;

Xaa at position 17 is Ala;

Xaa at position 18 is Ala;

Xaa at position 80 is Asn; and

Xaa at position 230 is Lys;

and a peptide linker consisting of SEQ ID NO:8

Gly-Gly-Gly-Ser-Gly-Gly-Gly-Gly-Ser-Gly-Gly-Gly-Gly-Ser

**30** 

wherein the N-terminal glycine of the peptide linker is directly fused to the C-terminal glycine residue of the GLP-1 analog and the C-terminal serine of the peptide linker is directly fused to the N-terminal alanine of the Fc portion.

4. A heterologous fusion protein consisting of:

a GLP-1 analog consisting of SEQ ID NO:1

His-Xaa<sub>8</sub>-Glu-Gly-Thr-Phe-Thr-Ser-Asp-Val-Ser-Ser-Tyr-Leu-Glu-Glu-Gln-Ala-Ala-Lys-Glu-Phe-Ile-Ala-Trp-Leu-Val-Lys-Gly-Gly-Gly

wherein Xaa<sub>8</sub> is Gly;

an Fc portion of an immunoglobulin consisting of SEQ ID NO:7

Ala-Glu-Ser-Lys-Tyr-Gly-Pro-Pro-Cys-Pro-Pro-Cys-Pro-Ala-Pro-Xaa<sub>16</sub>-Xaa<sub>17</sub>-Xaa<sub>18</sub>-Gly-Gly-Pro-Ser-Val-Phe-Leu-Phe-Pro-Pro-Lys-Pro-Lys-Asp-Thr-Leu-Met-Ile-Ser-Arg-Thr-Pro-Glu-Val-Thr-Cys-Val-Val-Val-Asp-Val-Ser-Gln-Glu-Asp-Pro-Glu-Val-Gln-Phe-Asn-Trp-Tyr-Val-Asp-Gly-Val-Glu-Val-His-Asn-Ala-Lys-Thr-Lys-Pro-Arg-Glu-Glu-Gln-Phe-Xaa<sub>80</sub>-Ser-Thr-Tyr-Arg-Val-Val-Ser-Val-Leu-Thr-Val-Leu-His-Gln-Asp-Trp-Leu-Asn-Gly-Lys-Glu-Tyr-Lys-Cys-Lys-Val-Ser-Asn-Lys-Gly-Leu-Pro-Ser-Ser-Ile-Glu-Lys-Thr-Ile-Ser-Lys-Ala-Lys-Gly-Gln-Pro-Arg-Glu-Pro-Gln-Val-Tyr-Thr-Leu-Pro-Pro-Ser-Gln-Glu-Glu-Met-Thr-Lys-Asn-Gln-Val-Ser-Leu-Thr-Cys-Leu-Val-Lys-Gly-Phe-Tyr-Pro-Ser-Asp-Ile-Ala-Val-Glu-Trp-Glu-Ser-Asn-Gly-Gln-Pro-Glu-Asn-Asn-Tyr-Lys-Thr-Thr-Pro-Pro-Val-Leu-Asp-Ser-Asp-Gly-Ser-Phe-Phe-Leu-Tyr-Ser-Arg-Leu-Thr-Val-Asp-Lys-Ser-Arg-Trp-Gln-Glu-Gly-Asn-Val-Phe-Ser-Cys-Ser-Val-Met-His-Glu-Ala-Leu-His-Asn-His-Tyr-Thr-Gln-Lys-Ser-Leu-Ser-Leu-Ser-Leu-Gly-Xaa<sub>230</sub>

#### wherein:

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Xaa at position 16 is Glu;

Xaa at position 17 is Ala;

Xaa at position 18 is Ala;

Xaa at position 80 is Asn; and

Xaa at position 230 is absent;

and a peptide linker consisting of SEQ ID NO:8

Gly-Gly-Gly-Ser-Gly-Gly-Gly-Gly-Ser-Gly-Gly-Gly-Gly-Ser

wherein the N-terminal glycine of the peptide linker is directly fused to the C-terminal glycine residue of the GLP-1 analog and the C-terminal serine of the peptide linker is directly fused to the N-terminal alanine of the Fc portion.

5. The heterologous fusion protein of claim 4 wherein the fusion protein is encoded by the DNA of SEQ ID NO:20.

## UNITED STATES PATENT AND TRADEMARK OFFICE

# (12) CERTIFICATE EXTENDING PATENT TERM UNDER 35 U.S.C. § 156

(68) PATENT NO. : 7,452,966

(45) ISSUED : November 18, 2008

(75) INVENTOR : Glaesner et al.

(73) PATENT OWNER : Eli Lilly and Company

(95) PRODUCT : TRULICITY® (dulaglutide)

This is to certify that an application under 35 U.S.C. § 156 has been filed in the United States Patent and Trademark Office, requesting extension of the term of U.S. Patent No. 7,452,966 based upon the regulatory review of the product TRULICITY® (dulaglutide) by the Food and Drug Administration. According to United States Patent and Trademark Office records, the original expiration date of the patent as of the date of issuance of this certificate is July 06, 2024. Because it appears that the requirements of the law have been met, this certificate extends the term of the patent for the period of

(94) 1,249 days

subject to the payment of maintenance fees as provided by law, with all rights pertaining thereto as provided by 35 U.S.C. § 156.

I have caused the seal of the United States Patent and Trademark Office to be affixed this 15th day of August 2018.



Andrei Iancu

Andrei Carrin

Under Secretary of Commerce for Intellectual Property and Director of the United States Patent and Trademark Office

Case 2:23-md-03080-BRM-RLS Document 277-3 Filed 09/06/24 Page 18 of 19 PageID: 8618



#### US007452966C1

### (12) EX PARTE REEXAMINATION CERTIFICATE (8835th)

# United States Patent

Glaesner et al.

(10) Number: US 7,452,966 C1

(45) Certificate Issued: \*Jan. 31, 2012

#### (54) GLP-1 ANALOG FUSION PROTEINS

(75) Inventors: Wolfgang Glaesner, Indianapolis, IN

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#### **Reexamination Request:**

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#### Reexamination Certificate for:

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Appl. No.: 10/558,627
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(\*) Notice: This patent is subject to a terminal dis-

claimer.

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(86) PCT No.: PCT/US2004/015595

§ 371 (c)(1),

(2), (4) Date: Nov. 29, 2005

(87) PCT Pub. No.: WO2005/000892

PCT Pub. Date: Jan. 6, 2005

#### Related U.S. Application Data

(60) Provisional application No. 60/477,880, filed on Jun. 12, 2003.

(51) **Int. Cl.** 

A61K 38/00(2006.01)A61K 38/28(2006.01)A61P 3/10(2006.01)C07K 14/50(2006.01)C07K 14/605(2006.01)

(52) **U.S. Cl.** ...... 530/324; 530/308

#### (56) References Cited

To view the complete listing of prior art documents cited during the proceeding for Reexamination Control Number 90/011,778, please refer to the USPTO's public Patent Application Information Retrieval (PAIR) system under the Display References tab.

Primary Examiner—Gary Kunz

#### (57) ABSTRACT

The invention provides specific GLP-1 analogs fused to specific IgG4-Fc derivatives. These fusion proteins have an increased half-life, decreased immunogenicity, and reduce effector activity. The fusion proteins are useful in treating diabetes, obesity, irritable bowel syndrome and other conditions that would be benefited by lowering plasma glucose, inhibiting gastric and/or intestinal motility and inhibiting gastric and/or intestinal emptying, or inhibiting food intake.

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# EX PARTE REEXAMINATION CERTIFICATE ISSUED UNDER 35 U.S.C. 307

NO AMENDMENTS HAVE BEEN MADE TO THE PATENT 2

AS A RESULT OF REEXAMINATION, IT HAS BEEN DETERMINED THAT:

The patentability of claims 1-5 is confirmed.

\* \* \* \* \*